

Combinatorics
projects

J.P. Cossey
University of
Akron

Catalan
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Three different
ways to think
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numbers

Some
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Open and
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Brauer graphs

Some
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Rouquier blocks

Combinatorics projects for non-combinatorists

J.P. Cossey
University of Akron

March 31st, 2017

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I was extremely honored to be invited to give this talk!

But...

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I was extremely honored to be invited to give this talk!

But... today is my son's third birthday, and I'm bummed I'm missing it.

I was extremely honored to be invited to give this talk!

But... today is my son's third birthday, and I'm bummed I'm missing it.

So, I'm invoking a little known bylaw of the Michigan MAA that says the first speaker at a conference gets to rename the conference.

Conference In Honor of DJ's Third Birthday

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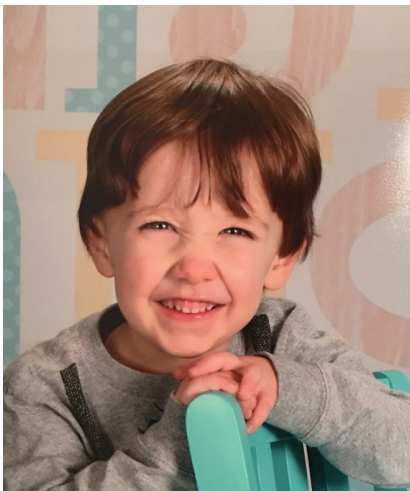
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I work at a masters* level institution. We have solid undergrads and beginning grad students who want to do research.

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What are some popular topics for undergraduate math research? Graph theory. Modeling. Applied math.

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My research is in representations of finite groups. And the stuff I work on is not particularly amenable to undergrads or beginning grad students, even the really good ones.

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What are some popular topics for undergraduate math research? Graph theory. Modeling. Applied math.

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My research is in representations of finite groups. And the stuff I work on is not particularly amenable to undergrads or beginning grad students, even the really good ones.

*: sadly the continued existence of our masters program in math is doubtful :(

So what do I do?

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So a number of years ago I set out to find some problems that are interesting to me, but are still accessible and fun to undergrads and/or beginning grad students.

So what do I do?

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So a number of years ago I set out to find some problems that are interesting to me, but are still accessible and fun to undergrads and/or beginning grad students.

I am in no way a trained combinatorialist. Fortunately, very basic combinatorics can be picked up pretty quickly, and I found some problems I think are pretty interesting and mostly wide open.

Catalan defined, but meaningless

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We'll start with a basic definition.

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We'll start with a basic definition.

Definition

Let n be a positive integer. The n th Catalan number c_n is defined by

$$c_n = \frac{1}{n+1} \binom{2n}{n}.$$

Catalan defined, but meaningless

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Definition

Let n be a positive integer. The n th Catalan number c_n is defined by

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Obviously, we want to know what this is good for.

A couple hundred examples

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Richard Stanley (starting with his “Enumerative Combinatorics” book, and continuing in an online addenda), gave a list of over 200(!) combinatorial objects that are counted by the Catalan numbers.

A couple hundred examples

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We will focus on three, more or less.

“Good” paths

Consider an n by n grid, with a diagonal from the southwest corner to the northeast corner.

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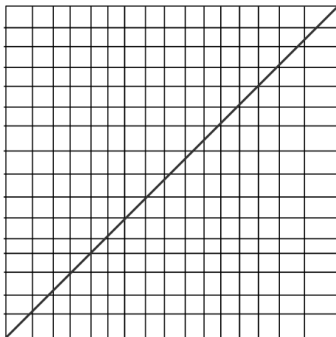
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Consider an n by n grid, with a diagonal from the southwest corner to the northeast corner.



“Good” paths

We want to travel from the southwest corner to the northeast corner without crossing into the “bad” part of town, above the diagonal. (We can touch the diagonal, but not go above it.)

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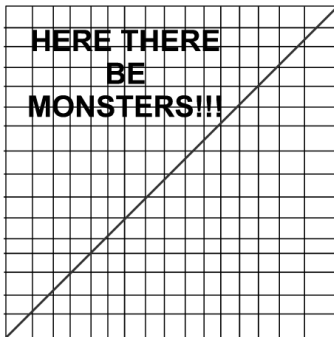
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Question - how many “good” paths are there?

Triangulations

Consider a regular $(n + 2)$ -gon.

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Consider a regular $(n + 2)$ -gon. Here $n = 6$.

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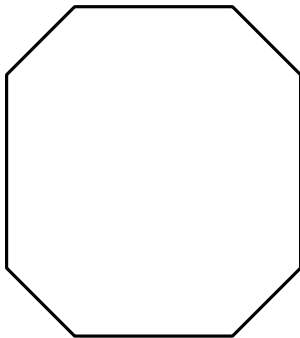
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Consider a regular $(n + 2)$ -gon. Here $n = 6$.



Triangulations

We would like to dissect the interior of the $(n + 2)$ -gon with diagonals until all the interior regions are triangles.

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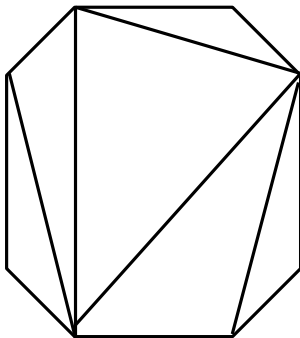
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Question - how many triangulations of the $(n + 2)$ -gon are there?

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Consider a rooted binary tree:

Rooted binary trees

Consider a rooted binary tree: There is a root node at the top, and each node either has two “descendants” or none.

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Rooted binary trees

Consider a rooted binary tree: There is a root node at the top, and each node either has two “descendants” or none.



If a node has two descendants, we say that it is an *internal* node.

Rooted binary trees

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Question - how many rooted binary trees are there with n internal nodes?

Ending the non-suspense

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As you've probably guessed, the answer to all three of the above questions is c_n .

Ending the non-suspense

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As you've probably guessed, the answer to all three of the above questions is c_n .

Let's talk a little about why.

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We'll look at trees, though this approach works for all three of the above.

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We'll look at trees, though this approach works for all three of the above.

We want to count b_n , all the rooted binary trees with n internal nodes. Except for now let's think about b_{n+1} .

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We'll look at trees, though this approach works for all three of the above.

We want to count b_n , all the rooted binary trees with n internal nodes. Except for now let's think about b_{n+1} .

We can partition the set of all binary trees with $n + 1$ internal nodes according to how many internal nodes come from the left part of the top split, and how many come from the right part of the top split.

A clever idea

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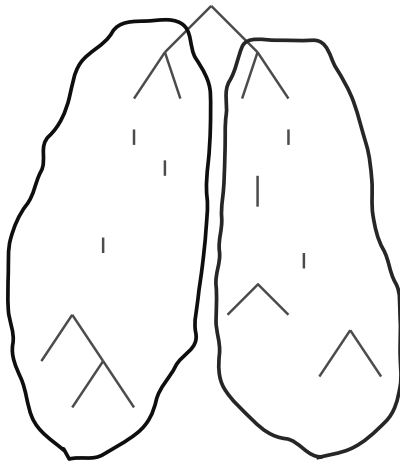
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k internal nodes here

$n-k-1$ internal nodes here

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If there are k internal nodes on the left part, then there are $n - k$ internal nodes on the right part.

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If there are k internal nodes on the left part, then there are $n - k$ internal nodes on the right part.

And thus there are $b_k b_{n-k}$ binary trees with $n + 1$ internal nodes with k on the left part and $n - k$ on the right.

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And thus there are $b_k b_{n-k}$ binary trees with $n + 1$ internal nodes with k on the left part and $n - k$ on the right.

Summing over all of the possible values of k , we get

$$b_{n+1} = \sum_{k=0}^n b_k b_{n-k}.$$

Some generating function voodoo

Now to show $b_n = \frac{1}{n+1} \binom{2n}{n}$ we use some generating functions and Calc II:

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Some generating function voodoo

Now to show $b_n = \frac{1}{n+1} \binom{2n}{n}$ we use some generating functions and Calc II:

Let $b(x) = b_0 + b_1x + b_2x^2 + \dots$

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Let $b(x) = b_0 + b_1x + b_2x^2 + \dots$

Then

$$b(x)^2 = \sum_{n=0}^{\infty} (b_0b_n + b_1b_{n-1} + \dots + b_nb_0)x^n,$$

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Let $b(x) = b_0 + b_1x + b_2x^2 + \dots$

Then

$$b(x)^2 = \sum_{n=0}^{\infty} (b_0b_n + b_1b_{n-1} + \dots + b_nb_0)x^n,$$

which by the above is

$$b(x)^2 = \sum_{n=0}^{\infty} b_{n+1}x^n.$$

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Now to show $b_n = \frac{1}{n+1} \binom{2n}{n}$ we use some generating functions and Calc II:

$$\text{Let } b(x) = b_0 + b_1x + b_2x^2 + \dots$$

Then

$$b(x)^2 = \sum_{n=0}^{\infty} (b_0b_n + b_1b_{n-1} + \dots + b_nb_0)x^n,$$

which by the above is

$$b(x)^2 = \sum_{n=0}^{\infty} b_{n+1}x^n.$$

Thus $xb(x)^2 + 1 = b(x)$.

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By using the quadratic formula and solving for $b(x)$, we get

$$b(x) = \frac{1 \pm \sqrt{1 - 4x^2}}{2x}.$$

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By using the quadratic formula and solving for $b(x)$, we get

$$b(x) = \frac{1 \pm \sqrt{1 - 4x^2}}{2x}.$$

Then by a (messy but not *too* bad) exercise in Taylor series, we get

$$b(x) = \sum_{n=0}^{\infty} \frac{1}{n+1} \binom{2n}{n} x^n,$$

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Then by a (messy but not *too* bad) exercise in Taylor series, we get

$$b(x) = \sum_{n=0}^{\infty} \frac{1}{n+1} \binom{2n}{n} x^n,$$

which gives us that $b_n = \frac{1}{n+1} \binom{2n}{n}$.

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There are some natural ways one could generalize these.

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There are some natural ways one could generalize these.

For instance, suppose instead of dissecting our polygon into triangles, we dissected our polygon into p -gons for some $p \geq 3$?

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For instance, suppose instead of dissecting our polygon into triangles, we dissected our polygon into p -gons for some $p \geq 3$?

Theorem

(Hilton, Pedersen 1991) Fix p and k with $p \geq 3$. Write $n = (p - 2)k + 2$. Then the number of ways to dissect an n -gon into p -gons is given by

$$c_{p,k} = \frac{1}{(p-2)k+1} \binom{(p-1)k}{k}.$$

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Notice if $p = 3$ we recover the Catalan numbers.

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Notice if $p = 3$ we recover the Catalan numbers.

Their proof was similar to the above, but some fancier complex analysis machinery was needed to create the generating function.

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Instead of binary trees, we could look at p -ary trees, where we require each node to have either $p - 1$ descendants or zero descendants. We let k denote the number of “internal” nodes.

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Theorem

(Hilton, Pedersen, 1991) The number of p -ary trees with k internal nodes is given by

$$c_{p,k} = \frac{1}{(p-2)k+1} \binom{(p-1)k}{k}.$$

Beyond binary trees

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Instead of binary trees, we could look at p -ary trees, where we require each node to have either $p - 1$ descendants or zero descendants. We let k denote the number of “internal” nodes.

Theorem

(Hilton, Pedersen, 1991) The number of p -ary trees with k internal nodes is given by

$$c_{p,k} = \frac{1}{(p-2)k+1} \binom{(p-1)k}{k}.$$

In fact, there is a natural bijection between the dissections of the polygons and the trees, but we won't go into that here.

A natural follow-up question

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Consider the triangulations of a pentagon:

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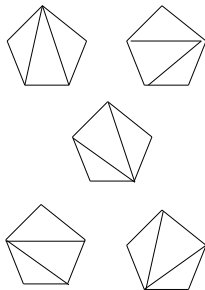
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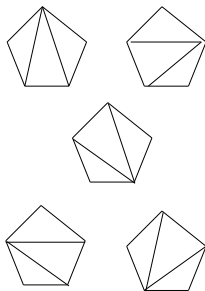
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Consider the triangulations of a pentagon:



There are five of them, but they all seem “the same” in some sense.

A natural follow-up question

For the triangulations of a hexagon, there are 14 total, but there seem to be only three (or four, if you don't allow flips), truly “different” ones:

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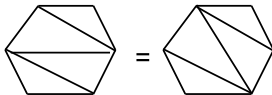
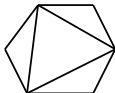
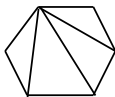
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Question: How many truly different triangulations of an $n + 2$ -gon are there?

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Question: How many truly different triangulations of an $n + 2$ -gon are there?

To make this more precise, we may phrase it in terms of group actions:

Question: Note that the dihedral group of an $(n + 2)$ -gon acts on the set of triangulations of an $(n + 2)$ -gon. How many distinct orbits are there under this action?

Moon and Moser's answer

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In 1963, Moon and Moser answered this question for triangulations:

Theorem

Let d_n denote the number of **distinct** triangulations of a regular $(n + 2)$ -gon.

Moon and Moser's answer

In 1963, Moon and Moser answered this question for triangulations:

Theorem

Let d_n denote the number of **distinct** triangulations of a regular $(n + 2)$ -gon.

If n is even then

$$d_n = \frac{c_n}{2n + 4} + (1/3)c_{\frac{n-1}{3}} + (3/4)c_{\frac{n}{2}+1}.$$

Moon and Moser's answer

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If n is odd then

$$d_n = \frac{c_n}{2n + 4} + (1/3)c_{\frac{n-1}{3}} + (1/2)c_{\frac{n+1}{2}}.$$

Moon and Moser's answer

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If n is odd then

$$d_n = \frac{c_n}{2n + 4} + (1/3)c_{\frac{n-1}{3}} + (1/2)c_{\frac{n+1}{2}}.$$

(Here if k is not an integer then $c_k = 0$.)

Combining the above ideas

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We can combine the above ideas: given positive integers p and k , and $n = (p - 2)k + 2$, how many “distinct” dissections of an n -gon into p -gons are there (where here dissections are “distinct” if they are not equivalent via rotations or flips).

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Theorem

(Cossey, Siegel 2014) With the above notation, the number of distinct dissections of an n -gon into p -gons is

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Theorem

(Cossey, Siegel 2014) With the above notation, the number of distinct dissections of an n -gon into p -gons is

...a messy formula too ugly to show in public.

Follow-up questions

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(1) Can we get a nicer answer to the above question? We have a very ugly “mostly” closed form, but is there a cleaner answer?

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(1) Can we get a nicer answer to the above question? We have a very ugly “mostly” closed form, but is there a cleaner answer?

(2) If we can't get a cleaner formula, can we at least understand the asymptotics?

Back to trees

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Here's a question I've been waiting for the right student to come along to try to answer:

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Here's a question I've been waiting for the right student to come along to try to answer:

How many “different” binary trees with $n + 1$ internal nodes are there?

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Here's a question I've been waiting for the right student to come along to try to answer:

How many “different” binary trees with $n + 1$ internal nodes are there?

What do we mean by “different”? We say two binary trees are “equivalent” if we can apply a sequence of “flips” at the internal nodes to transform one tree to another.

Picture of equivalent trees

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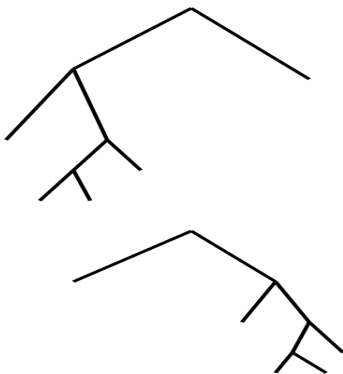
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For the group theorists out there

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This seems to be an action of a wreath product group on the set of binary trees, using the Cauchy-Frobenius theorem. This should be pretty easy, I would think. So it should be done with a student.

“Different” p -ary trees

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Similarly, we can ask how many “different” p -ary trees there are with a given number of internal nodes.

“Different” p -ary trees

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Similarly, we can ask how many “different” p -ary trees there are with a given number of internal nodes.

It seems like the answer to this could be known somewhere, but it also seems it would be more fun to discover it with a student.

Tip of the iceberg

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These are just some of the many questions that pop up when you start thinking about Catalan numbers and their generalizations.

Tip of the iceberg

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These are just some of the many questions that pop up when you start thinking about Catalan numbers and their generalizations.

These seem like a rich area for work with students, since there is little background material that is needed.

Intro to representation theory

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Representation theory is my main area of research. But it's not something one can work on with students very easily.

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Representation theory is my main area of research. But it's not something one can work on with students very easily.

However, I managed to find a nice problem one can work on with students that doesn't require any actual knowledge of representations on their part.

Basic idea

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A representation of a finite group G is a function that turns group elements into matrices in such a way that multiplication in G corresponds to multiplication of matrices.

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A representation of a finite group G is a function that turns group elements into matrices in such a way that multiplication in G corresponds to multiplication of matrices.

If your matrices have complex entries, we call these “ordinary” representations.

Basic idea

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A representation of a finite group G is a function that turns group elements into matrices in such a way that multiplication in G corresponds to multiplication of matrices.

If your matrices have complex entries, we call these “ordinary” representations.

If your matrices have entries from a field of characteristic p , we call these “modular” representations.

Decomposing representations

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For a fixed prime p , there is a way to “decompose” an ordinary representation into a sum of modular representations.

Decomposing representations

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For a fixed prime p , there is a way to “decompose” an ordinary representation into a sum of modular representations.

This is the basis of what is commonly called “block theory”.

The symmetric groups

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Block theory is hard in general.

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Block theory is hard in general.

But for the symmetric groups S_n , we have a number of advantages that we don't have for arbitrary finite groups. In particular, some easily defined combinatorics allows us to say things about the representations (even if we don't understand representation theory at all!)

Partitions

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Definition

Let n be a positive integer. A partition λ of n is a sequence

$$\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$$

such that

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Definition

Let n be a positive integer. A partition λ of n is a sequence

$$\lambda = (\lambda_1, \lambda_2, \dots, \lambda_k)$$

such that

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k,$$

and

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and

$$\sum_{i=1}^k \lambda_i = n.$$

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Let n be a positive integer. A partition λ of n is a sequence

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such that

$$\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k,$$

and

$$\sum_{i=1}^k \lambda_i = n.$$

For instance, $\lambda = (4, 2, 2, 1)$ is a partition of 9.

p -regular partitions

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Now let p be a prime. We say the partition μ of n is p -regular if no part of μ is repeated p or more times.

p -regular partitions

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Now let p be a prime. We say the partition μ of n is p -regular if no part of μ is repeated p or more times.

For instance, $\mu = (5, 4, 3, 3, 2, 2, 1)$ is a 3-regular partition of 20,

p -regular partitions

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Now let p be a prime. We say the partition μ of n is p -regular if no part of μ is repeated p or more times.

For instance, $\mu = (5, 4, 3, 3, 2, 2, 1)$ is a 3-regular partition of 20,

but $\nu = (5, 4, 3, 2, 2, 2, 1, 1)$ is *not* a 3-regular partition of 20.

Why symmetric groups are nice

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Why should we care?

Theorem

The “important” ordinary representations of S_n are indexed by the partitions of n .

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Why symmetric groups are nice

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Why should we care?

Theorem

The “important” ordinary representations of S_n are indexed by the partitions of n .

The “important” modular representations of S_n are indexed by p -regular partitions of n .

All sorts of “important” information about these representations can be determined by doing some combinatorics to the partitions.

A very hard question

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Given that the “important” representations of S_n are each indexed by certain classes of partitions, and one can in theory “decompose” ordinary representations of S_n (indexed by partitions of n) into “modular” representations of S_n (indexed by p -regular partitions of n), one might ask:

A very hard question

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Question: Given a partition λ of n and a prime p , how does the partition λ “decompose” into p -regular partitions?

A very hard question

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Question: Given a partition λ of n and a prime p , how does the partition λ “decompose” into p -regular partitions?

For instance, for $n = 8$ and $p = 3$, we have the partition $(4, 2, 2)$ decomposes into the 3-regular partitions

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For instance, for $n = 8$ and $p = 3$, we have the partition $(4, 2, 2)$ decomposes into the 3-regular partitions

$(7, 1),$

A very hard question

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Given that the “important” representations of S_n are each indexed by certain classes of partitions, and one can in theory “decompose” ordinary representations of S_n (indexed by partitions of n) into “modular” representations of S_n (indexed by p -regular partitions of n), one might ask:

Question: Given a partition λ of n and a prime p , how does the partition λ “decompose” into p -regular partitions?

For instance, for $n = 8$ and $p = 3$, we have the partition $(4, 2, 2)$ decomposes into the 3-regular partitions

$(7, 1), (6, 2),$

A very hard question

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Question: Given a partition λ of n and a prime p , how does the partition λ “decompose” into p -regular partitions?

For instance, for $n = 8$ and $p = 3$, we have the partition $(4, 2, 2)$ decomposes into the 3-regular partitions

$(7, 1)$, $(6, 2)$, $(4, 4)$, and $(4, 2, 2)$.

A very hard question

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In general, this problem is hard.

A very hard question

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In general, this problem is hard.

Very, **very** hard. Whole books have been written about this for the symmetric group.

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One way to organize this information (not to **compute** it, mind you, but organize it) is via the Brauer graph.

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One way to organize this information (not to **compute** it, mind you, but organize it) is via the Brauer graph.

For our purposes, we define the Brauer graph of S_n for the prime p as follows:

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One way to organize this information (not to **compute** it, mind you, but organize it) is via the Brauer graph.

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The vertices of the graph are indexed by the partitions of n .

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One way to organize this information (not to **compute** it, mind you, but organize it) is via the Brauer graph.

For our purposes, we define the Brauer graph of S_n for the prime p as follows:

The vertices of the graph are indexed by the partitions of n .

The vertices λ and σ share an edge if and only if the decompositions of λ and σ have a constituent in common.

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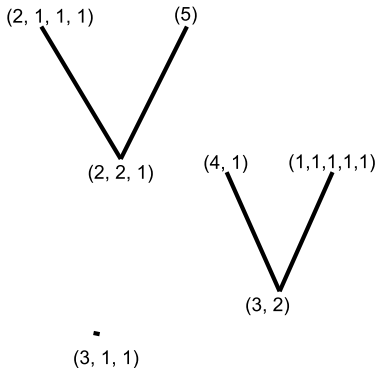
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The $n=5$, $p=3$ Brauer graph:



Blocks for people who don't know/care about block theory

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The easiest definition of a p -block of S_n is just a connected component of the Brauer graph.

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The easiest definition of a p -block of S_n is just a connected component of the Brauer graph.

Thus, in the above example, we have three blocks in our Brauer graph.

Our motivating question

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Question: What can we say about the Brauer graph of a block of the symmetric group?

Our motivating question

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Question: What can we say about the Brauer graph of a block of the symmetric group?

For instance, by way of comparison, it is known that if G is a group of odd order, any Brauer graph has diameter at most four.

Why this is hard

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To get the Brauer graphs, we need to know how the partitions decompose, and to get how the partitions decompose, we need to know how the representations decompose.

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To get the Brauer graphs, we need to know how the partitions decompose, and to get how the partitions decompose, we need to know how the representations decompose.

And it is really hard to say **anything** about how the representations decompose.

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To give an idea of how hard this problem is in general, and to motivate our result, note that each block of a symmetric group comes equipped with the notion of a “weight”.

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To give an idea of how hard this problem is in general, and to motivate our result, note that each block of a symmetric group comes equipped with the notion of a “weight”.

Blocks of weight $w = 0$ are trivial. (Their Brauer graph is just one vertex!)

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To give an idea of how hard this problem is in general, and to motivate our result, note that each block of a symmetric group comes equipped with the notion of a “weight”.

Blocks of weight $w = 0$ are trivial. (Their Brauer graph is just one vertex!)

Blocks of weight $w = 1$ have a Brauer graph that is just a straight line of length p , thanks to some relatively fancy theory.

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To give an idea of how hard this problem is in general, and to motivate our result, note that each block of a symmetric group comes equipped with the notion of a “weight”.

Blocks of weight $w = 0$ are trivial. (Their Brauer graph is just one vertex!)

Blocks of weight $w = 1$ have a Brauer graph that is just a straight line of length p , thanks to some relatively fancy theory.

Blocks of weight $w = 2$ have only recently been characterized, and the nature of their Brauer graphs is still not well understood (this could be a good project!).

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Blocks of weight $w = 3$ are a little bit understood. Kind of. Sort of. But not really.

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Blocks of weight $w = 3$ are a little bit understood. Kind of.
Sort of. But not really.

Blocks of weight $w \geq 4$: we have no chance, really.

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This problem becomes quite tractable, however, if we limit ourselves to **Rouquier blocks**.

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This problem becomes quite tractable, however, if we limit ourselves to **Rouquier blocks**.

There is a fancy definition of Rouquier blocks which I won't go into here. (But it's reasonably accessible with more time.)

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Roughly, a partition λ is in a Rouquier block if λ has a few rows that are “very long” and lots of rows that are “very short”.

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There is a fancy definition of Rouquier blocks which I won't go into here. (But it's reasonably accessible with more time.)

Roughly, a partition λ is in a Rouquier block if λ has a few rows that are “very long” and lots of rows that are “very short”.

There are of course precise combinatorial definitions for all of this.

Why Rouquier blocks are nice

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A very nice result of Chuang, Tan, and Turner (and independently by Leclerc and Miyachi) makes this hard problem in general quite nice for Rouquier blocks:

Theorem

Suppose R is a Rouquier block of S_n for some prime p , and let λ be a partition in R and μ be a p -regular partition in R . Then μ appears in the decomposition of λ if and only if ...

Why Rouquier blocks are nice

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some big messy, but purely combinatorial statement about the partitions holds.

(For those interested, the purely combinatorial statement involves quotients of partitions and Littlewood-Richardson coefficients)

Too long for this talk

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Understanding the proper statement of the above theorem would take us too long for this talk.

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Understanding the proper statement of the above theorem would take us too long for this talk.

However, it's quite accessible to patient undergrads and/or beginning grad students.

Too long for this talk

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Understanding the proper statement of the above theorem would take us too long for this talk.

However, it's quite accessible to patient undergrads and/or beginning grad students.

The point being, the above theorem translates the representation theoretic problem into a purely combinatorial problem, which can be (and has been!) tackled by undergrads who know nothing about representations (or even groups for that matter!).

Proof that this is accessible

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Theorem

(Cossey, Mayer 2016) Let R be a Rouquier block for the prime p of weight w . Then the diameter of the Brauer graph of R is bounded below by $p - 1$ and above by $p + 2\lceil \log_2(w) \rceil + 1$.

How good is this?

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A complete graph (i.e. every vertex shares an edge with every other vertex) has diameter one.

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A complete graph (i.e. every vertex shares an edge with every other vertex) has diameter one.

Generally speaking, if closer a graph is to being complete, the smaller the diameter is compared to the number of vertices.

How good is this?

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A complete graph (i.e. every vertex shares an edge with every other vertex) has diameter one.

Generally speaking, if closer a graph is to being complete, the smaller the diameter is compared to the number of vertices.

We have shown these graphs are fairly close to complete - for instance, if $p = 11$ and $w = 10$, then our bound puts the diameter between 10 and 20,

How good is this?

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A complete graph (i.e. every vertex shares an edge with every other vertex) has diameter one.

Generally speaking, if closer a graph is to being complete, the smaller the diameter is compared to the number of vertices.

We have shown these graphs are fairly close to complete - for instance, if $p = 11$ and $w = 10$, then our bound puts the diameter between 10 and 20, while the number of vertices is six or seven digits!

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There are all sorts of open questions related to this, all of which should be accessible once one has a functional understanding of the basic combinatorics:

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There are all sorts of open questions related to this, all of which should be accessible once one has a functional understanding of the basic combinatorics:

(1) How tight are these bounds?

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There are all sorts of open questions related to this, all of which should be accessible once one has a functional understanding of the basic combinatorics:

(1) How tight are these bounds?

(2) Can we determine the minimum/maximum/average degrees of the vertices?

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There are all sorts of open questions related to this, all of which should be accessible once one has a functional understanding of the basic combinatorics:

- (1) How tight are these bounds?
- (2) Can we determine the minimum/maximum/average degrees of the vertices?
- (3) Can we find the maximally embedded complete graph?

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There are all sorts of open questions related to this, all of which should be accessible once one has a functional understanding of the basic combinatorics:

- (1) How tight are these bounds?
- (2) Can we determine the minimum/maximum/average degrees of the vertices?
- (3) Can we find the maximally embedded complete graph?
- (4) Any other graph theoretic question you might like about this graph.