

# Speedups of $\mathbb{Z}^d$ -odometers

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# Some words about Jane

## Math 121, Sec. 2, Fall, 1997: Advanced Calc. I

Instructor: Prof. Jane Hawkins, Ph 376

**Time:** Tuesday and Thursday, 9:30-10:45 a.m.

**Place:** Phillips 330

**Text:** Introduction to Real Analysis (2nd edition) by R. Bartle and D. Sherbert (Wiley)

**Phone and e-mail:** 962-9618, jmh@math.unc.edu

**Office hours:** W: 3:30 - 5:00  
Th: 7:00 - 10:00

**Grading:** 2 in-class exams (40%), Homework/quiz grade (20%), Final exam (40%) Quizzes (Quizzes will consist of one or two problems taken from the homework assignments. The quizzes will always be announced in advance and will take place on Thursdays. **FINAL EXAM: TUES, DEC. 9 AT 8-11 AM.**

**Homework:** Homework will be assigned and collected once every week. You may work together on homework assignments but you must submit solutions written by you alone.

**Syllabus:** We will cover Chapters 2-9. The material from Chapter 1 should be known to you - it will be covered in a lecture or less. We will also have a small amount of supplementary material handed out in class relating to Chapter 2.



# This talk is about actions of $\mathbb{Z}^d$

## Definition

A  $\mathbb{Z}^d$ – **measure-preserving system** ( $\mathbb{Z}^d$ -**m.p.s.**) is a quadruple  $(X, \mathcal{X}, \mu, \mathbf{T})$  where  $(X, \mathcal{X}, \mu)$  is a Lebesgue probability space and  $\mathbf{T} = \{\mathbf{T}_{\mathbf{v}} : \mathbf{v} \in \mathbb{Z}^d\}$  is an action of  $\mathbb{Z}^d$  on  $X$  by measure-preserving transformations.

## Definition

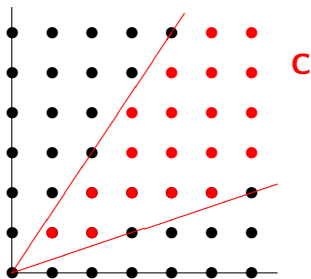
A  $\mathbb{Z}^d$ – **Cantor minimal system** ( $\mathbb{Z}^d$ -**C.m.s.**) is a pair  $(X, \mathbf{T})$  where  $X$  is a Cantor space and  $\mathbf{T} = \{\mathbf{T}_{\mathbf{v}} : \mathbf{v} \in \mathbb{Z}^d\}$  is a minimal action of  $\mathbb{Z}^d$  on  $X$  by homeomorphisms.

In either situation, we can write  $\mathbf{T} = (T_1, \dots, T_d)$  where  $T_j$  is shorthand for the action of standard basis vector  $\mathbf{e}_j$ .

## Definition

A **cone**  $\mathbf{C}$  is the intersection of  $\mathbb{Z}^d - \{0\}$  with any open, connected subset of  $\mathbb{R}^2$  bounded by  $d$  distinct hyperplanes passing through the origin.

Example in  $\mathbb{Z}^2$ :



## Definition

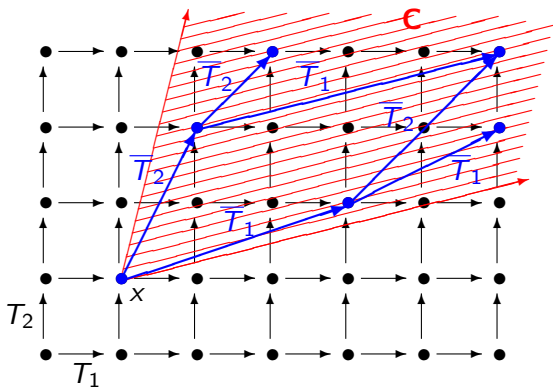
A **C-speedup** of  $\mathbb{Z}^d$ -action  $\mathbf{T} = (T_1, \dots, T_d)$  is another  $\mathbb{Z}^2$ -action  $\mathbf{T}^{\mathbf{p}} = (\bar{T}_1, \dots, \bar{T}_d)$  (defined on the same space as  $\mathbf{T}$ ) such that

$$\bar{T}_j(x) = \mathbf{T}_{\mathbf{p}_j(x)}(x)$$

for some function  $\mathbf{p} = (\mathbf{p}_1, \dots, \mathbf{p}_d) : X \rightarrow \mathbf{C}^d$ .  $\mathbf{p}$  is called the **jump function** or the **speedup function**.

**Remark:** The  $\mathbf{p}$  must be defined so that the  $\bar{T}_j$  commute (so one cannot simply speed up the generators  $T_j$  independently to obtain a speedup of  $\mathbf{T}$ ).

# A picture to explain ( $d = 2$ )



Here,  $\mathbf{T}^{\mathbf{P}} = (\bar{T}_1, \bar{T}_2)$  is a  $\mathbf{C}$ -speedup of  $\mathbf{T} = (T_1, T_2)$ .  
In particular, for the indicated point  $x$ , we have

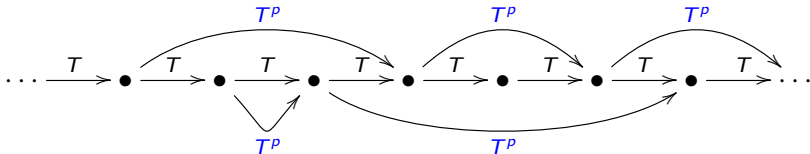
$$\mathbf{p}(x) = ((3, 1), (1, 2)).$$

# Why is this called a “speedup”?

When  $d = 1$ , there are two cones:

$$\mathbf{C}_+ = \{1, 2, 3, \dots\} \text{ and } \mathbf{C}_- = \{-1, -2, -3, \dots\}.$$

A  $\mathbf{C}_+$ -speedup looks like this:



# The big picture

## Question

Given two  $\mathbb{Z}^d$ -actions  $(X, \mathbf{T})$  and  $(Y, \mathbf{S})$ , when is there a speedup of  $\mathbf{T}$  isomorphic to  $\mathbf{S}$ ?

The word **isomorphic** means:

- measurably conjugate, if  $\mathbf{T}$  and  $\mathbf{S}$  are  $\mathbb{Z}^d$ -m.p.s.
- topologically conjugate, if  $\mathbf{T}$  and  $\mathbf{S}$  are  $\mathbb{Z}^d$ -C.m.s.

## Notation

Write

$$\mathbf{T} \underset{\mathbf{C}}{\rightsquigarrow} \mathbf{S}$$

if there is a  $\mathbf{C}$ -speedup of  $\mathbf{T}$  isomorphic to  $\mathbf{S}$ .



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## Notation

Write

$$\mathbf{T} \rightsquigarrow \mathbf{S}$$

if for any cone  $\mathbf{C} \subseteq \mathbb{Z}^d$ ,  $\mathbf{T} \rightsquigarrow_{\mathbf{C}} \mathbf{S}$ .

# The big picture

## Question

Given two  $\mathbb{Z}^d$ -actions  $(X, \mathbf{T})$  and  $(Y, \mathbf{S})$ , when is there a speedup of  $\mathbf{T}$  isomorphic to  $\mathbf{S}$ ?

The word **isomorphic** means:

- measurably conjugate, if  $\mathbf{T}$  and  $\mathbf{S}$  are  $\mathbb{Z}^d$ -m.p.s.
- topologically conjugate, if  $\mathbf{T}$  and  $\mathbf{S}$  are  $\mathbb{Z}^d$ -C.m.s.

## Notation

Write

$$\mathbf{T} \overset{\text{adjective}}{\rightsquigarrow} \mathbf{S}$$

if  $\mathbf{T} \rightsquigarrow \mathbf{S}$  via a speedup function  $\mathbf{p}$  which is that adjective.

# History of speedups: ergodic theory

## Theorem (Neveu 1969)

Suppose  $(X, T)$  and  $(Y, S)$  are m.p.t.s. If  $T \overset{\text{integrable}}{\rightsquigarrow} S$ , then

$$h(S) = \left( \int p \, d\mu \right) h(T).$$

## Theorem (Arnoux-Ornstein-Weiss 1985)

Suppose  $(X, T)$  and  $(Y, S)$  are m.p.t.s, where  $T$  is ergodic. Then  $T \overset{\text{mble}}{\rightsquigarrow} S$ .

## Theorem (Johnson-M, 2014)

Suppose  $(X, \mathbf{T})$  and  $(Y, \mathbf{S})$  are  $\mathbb{Z}^d$ -m.p.s., where  $\mathbf{T}$  is ergodic. Then  $\mathbf{T} \overset{\text{mble}}{\rightsquigarrow} \mathbf{S}$ .

# History of speedups: ergodic theory

The basic framework of the AOW (and JM) proofs can be traced to a proof of Dye's Theorem given by Hajian, Ito and Kakutani in 1975. Recall:

## Theorem (Dye 1963)

Suppose  $(X, \mathbf{T})$  and  $(Y, \mathbf{S})$  are ergodic  $\mathbb{Z}^d$ -m.p.s. Then  $\mathbf{T}$  and  $\mathbf{S}$  are (measurably) orbit equivalent.

## Big picture idea

When  $\mathbf{T}$  and  $\mathbf{S}$  are orbit equivalent, we think of  $\mathbf{T}$  and  $\mathbf{S}$  as “having the same orbits”.

When  $\mathbf{T} \rightsquigarrow \mathbf{S}$ , each  $\mathbf{T}$ -orbit is partitioned into distinct  $\mathbf{S}$ -orbits.

This suggests that the “speedup relation”  $\rightsquigarrow$  has something to do with orbit equivalence.

# History of speedups: topological dynamics, $d = 1$

## Theorem (Giordano-Putnam-Skau 1995)

Let  $T$  and  $S$  be two Cantor minimal systems. Then TFAE:

- 1  $T$  and  $S$  are continuously orbit equivalent.
- 2  $T$  and  $S$  have isomorphic dimension groups.

## Theorem (Ash)

Let  $T$  and  $S$  be two Cantor minimal systems. Then TFAE:

- 1  $T \overset{\text{lsc}}{\rightsquigarrow} S$ .  
("lsc" is "lower semicontinuous")
- 2 There is a surjective group homomorphism from the dimension group of  $S$  to the dimension group of  $T$ , preserving the positive cones and distinguished order units of those groups.

# History of speedups: topological dynamics, $d = 1$

Much more restrictive things happen when one asks that the speedup function  $p$  be continuous (hence bounded, since  $X$  is compact):

## Theorem (Alvin-Ash-Ormes)

Let  $T$  be an odometer (more specifically, a  $\mathbb{Z}$ -odometer), and suppose  $T \overset{\text{cts}}{\rightsquigarrow} S$ . If  $S$  is minimal, then  $S$  is a  $\mathbb{Z}$ -odometer which is topologically conjugate to  $T$ .

## Question

What happens with continuous speedups of  $\mathbb{Z}^d$ -odometers?

$\mathbb{Z}^d$ -odometers were introduced by Cortez in 2004. They are defined as follows:

## The phase space

Let

$$\mathbb{Z}^d \geq G_0 \geq G_1 \geq G_2 \geq G_3 \geq \dots$$

be a decreasing sequence of subgroups of  $\mathbb{Z}^d$ , each of which have finite index in  $\mathbb{Z}^d$ , such that  $\bigcap_{j=0}^{\infty} G_j = \{\mathbf{0}\}$ . Let  $X$  be the inverse limit

$$X = \varprojlim (\mathbb{Z}^d / G_j).$$

$\mathbb{Z}^d$ -odometers were introduced by Cortez in 2004. They are defined as follows:

## The phase space

Each element  $\mathbf{x}$  of  $X$  is formally an infinite sequence of cosets, i.e. something like

$$\mathbf{x} = (\mathbf{x}_0 + G_0, \mathbf{x}_1 + G_1, \mathbf{x}_2 + G_2, \dots)$$

where the  $\mathbf{x}_j$  are “commensurate”, i.e. since  $G_j \geq G_{j+1}$ , there’s a natural map

$$\pi_j : \mathbb{Z}^d / G_{j+1} \rightarrow \mathbb{Z}^d / G_j;$$

for such a sequence to be in  $X$  we require that, for all  $j$ ,

$$\pi_j(\mathbf{x}_{j+1} + G_{j+1}) = \mathbf{x}_j + G_j.$$



$\mathbb{Z}^d$ -odometers were introduced by Cortez in 2004. They are defined as follows:

## The action

$X$  is a Cantor space, and also a topological group with addition defined coordinate-wise, where the addition in the  $j^{\text{th}}$  coordinate is the usual (vector) addition in the quotient group  $\mathbb{Z}^d/G_j$ .

Given any  $\mathbf{v} \in \mathbb{Z}^d$ , we can “convert”  $\mathbf{v}$  into an element of  $X$  by setting

$$\tau(\mathbf{v}) = (\mathbf{v} + G_0, \mathbf{v} + G_1, \mathbf{v} + G_2, \dots)$$

Define the action  $\mathbf{T}$  of  $\mathbb{Z}^d$  on  $X$  by  $\mathbf{T}_{\mathbf{v}}(\mathbf{x}) = \mathbf{x} + \tau(\mathbf{v})$ .  $(X, \mathbf{T})$  is a  $\mathbb{Z}^d$ -C.m.s. called a  **$\mathbb{Z}^d$ -odometer**.

## Theorem (Johnson-M)

Let  $\mathbf{T}$  be a  $\mathbb{Z}^d$ -odometer, and suppose  $\mathbf{T} \overset{\text{cts}}{\rightsquigarrow} \mathbf{S}$ . If  $\mathbf{S}$  is minimal, then  $\mathbf{S}$  is topologically conjugate to a  $\mathbb{Z}^d$ -odometer.

Same result as  $d = 1$  (AAO), but not a similar proof.

# Speedups of $\mathbb{Z}^d$ -odometers

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## Theorem (Johnson-M)

Let  $\mathbf{T}$  be a  $\mathbb{Z}^d$ -odometer, and suppose  $\mathbf{T} \overset{\text{cts}}{\rightsquigarrow} \mathbf{S}$ . Even if  $\mathbf{S}$  is minimal,  $\mathbf{S}$  need not be topologically conjugate to  $\mathbf{T}$ .

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Let  $\mathbf{T}$  be a  $\mathbb{Z}^d$ -odometer, and suppose  $\mathbf{T} \overset{\text{cts}}{\rightsquigarrow} \mathbf{S}$ . If  $\mathbf{S}$  is minimal, then  $\mathbf{S}$  is topologically conjugate to a  $\mathbb{Z}^d$ -odometer.

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## Theorem (Johnson-M)

Let  $\mathbf{T}$  be a  $\mathbb{Z}^d$ -odometer, and suppose  $\mathbf{T} \overset{\text{cts}}{\rightsquigarrow} \mathbf{S}$ . Even if  $\mathbf{S}$  is minimal,  $\mathbf{S}$  need not be topologically conjugate to  $\mathbf{T}$ .

Not same result as  $d = 1$ .

**Actually...** it is (kind of) the same result as  $d = 1$ , if one considers orbit equivalence.

## Theorem (Johnson-M)

Let  $\mathbf{T}$  and  $\mathbf{S}$  be  $\mathbb{Z}^d$ -odometers. TFAE:

- 1 For some cone  $\mathbf{C} \subseteq \mathbb{Z}^d$ ,  $\mathbf{T} \underset{\mathbf{C}}{\overset{\text{cts}}{\rightsquigarrow}} \mathbf{S}$ .
- 2  $\mathbf{T} \overset{\text{cts}}{\rightsquigarrow} \mathbf{S}$ .
- 3  $\mathbf{T}$  and  $\mathbf{S}$  are continuously orbit equivalent.

**Remark:** When  $d = 1$ , statement (3) of the above theorem implies  $T$  and  $S$  are flip conjugate (Boyle 1983, Boyle-Tomiyama 1998), and since  $T$  and  $S$  are  $\mathbb{Z}$ -odometers they are each isomorphic to their inverses. The AAO result follows.

## Theorem (Johnson-M)

Let  $\mathbf{T}$  and  $\mathbf{S}$  be  $\mathbb{Z}^d$ -odometers. TFAE:

- 1 For some cone  $\mathbf{C} \subseteq \mathbb{Z}^d$ ,  $\mathbf{T} \underset{\mathbf{C}}{\overset{\text{cts}}{\rightsquigarrow}} \mathbf{S}$ .
- 2  $\mathbf{T} \overset{\text{cts}}{\rightsquigarrow} \mathbf{S}$ .
- 3  $\mathbf{T}$  and  $\mathbf{S}$  are continuously orbit equivalent.

A key concept used in the proof is that of **structural conjugacy** of odometers, recently introduced by Cortez & Medynets. This gives an algebraic condition on sequences of subgroups defining two odometers which reveals whether or not they are continuously orbit equivalent.

# Orbit equivalence and speedups of $\mathbb{Z}^d$ -odometers

## Theorem (Johnson-M)

Let  $\mathbf{T}$  and  $\mathbf{S}$  be  $\mathbb{Z}^d$ -odometers. TFAE:

- 1 For some cone  $\mathbf{C} \subseteq \mathbb{Z}^d$ ,  $\mathbf{T} \underset{\mathbf{C}}{\overset{\text{cts}}{\rightsquigarrow}} \mathbf{S}$ .
- 2  $\mathbf{T} \overset{\text{cts}}{\rightsquigarrow} \mathbf{S}$ .
- 3  $\mathbf{T}$  and  $\mathbf{S}$  are continuously orbit equivalent.

## Corollary

Let  $\mathbf{T}$  and  $\mathbf{S}$  be  $\mathbb{Z}^d$ -odometers. If  $\mathbf{T} \overset{\text{cts}}{\rightsquigarrow} \mathbf{S}$ , then  $\mathbf{S} \overset{\text{cts}}{\rightsquigarrow} \mathbf{T}$ .

# Orbit equivalence and speedups of $\mathbb{Z}^d$ -odometers

## Theorem (Johnson-M)

Let  $\mathbf{T}$  and  $\mathbf{S}$  be  $\mathbb{Z}^d$ -odometers. TFAE:

- 1 For some cone  $\mathbf{C} \subseteq \mathbb{Z}^d$ ,  $\mathbf{T} \underset{\mathbf{C}}{\overset{\text{cts}}{\rightsquigarrow}} \mathbf{S}$ .
- 2  $\mathbf{T} \overset{\text{cts}}{\rightsquigarrow} \mathbf{S}$ .
- 3  $\mathbf{T}$  and  $\mathbf{S}$  are continuously orbit equivalent.

## Corollary

There exists a product-type  $\mathbb{Z}^d$ -odometer  $\mathbf{T}$  and a non-product-type  $\mathbb{Z}^d$ -odometer  $\mathbf{S}$ , such that  $\mathbf{T} \overset{\text{cts}}{\rightsquigarrow} \mathbf{S}$  and  $\mathbf{S} \overset{\text{cts}}{\rightsquigarrow} \mathbf{T}$ .